

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

LAURA SEIDL, individually, derivatively and on  
behalf of all others similarly situated,

Plaintiff,

vs.

AMERICAN CENTURY COMPANIES, INC.,  
AMERICAN CENTURY INVESTMENT  
MANAGEMENT, INC., JAMES E. STOWERS, JR.,  
JAMES E. STOWERS, III, JONATHAN S.  
THOMAS, THOMAS A. BROWN, ANDREA C.  
HALL, DONALD H. PRATT, GALE A. SAYERS,  
M. JEANNINE STRANDJORD, TIMOTHY S.  
WEBSTER, WILLIAM M. LYONS, MARK  
MALLON, WADE SLOME, BRUCE WIMBERLY  
and JERRY SULLIVAN,

Defendants.

and

AMERICAN CENTURY MUTUAL FUNDS, INC.,  
doing business as AMERICAN CENTURY ULTRA  
FUND,

Nominal Defendant.

INDEX No. 08-CV-8857 (DLC)  
ECF Case

**ANSWER TO AMENDED COMPLAINT**

Defendants American Century Companies, Inc., American Century Investment Management, Inc. (“ACIM”), James E. Stowers, Jr., James E. Stowers, III, Jonathan S. Thomas, William M. Lyons, Mark Mallon, Wade Slome, Bruce Wimberly, and Jerry Sullivan (“Defendants”), by its attorneys, Cooley Godward Kronish LLP, file this Answer to the claims asserted in Plaintiff’s Amended Verified Class Action and Derivative Complaint (“Amended

Complaint”), with each paragraph number referring to the paragraph in the complaint bearing the same number as follows:

1. Defendants deny the allegations in this paragraph.
2. Defendants deny having knowledge or information sufficient to form a belief as to truthfulness of the allegations in this paragraph and, on that basis, deny same.
3. Defendants deny the allegations in this paragraph.
4. Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required, but to the extent that a response is required, deny the allegations of this paragraph.
5. Defendants deny the allegations in this paragraph.
6. Defendants admit that Plaintiff has asserted such claims, but denies the merits thereof.
7. Defendants deny the allegations in this paragraph.
8. Defendants state that the first sentence of this paragraph consists solely of legal conclusions to which no responsive pleading is required. As to the remainder of this paragraph, Defendants deny the allegations in this paragraph.
9. Defendants admit that Plaintiff has named ACMF as a nominal defendant and has asserted derivative claims on behalf of ACMF, but otherwise deny the allegations of this paragraph.
10. Defendants admit that Plaintiff has brought this action as a putative class action, but otherwise deny the allegations in this paragraph.
11. Defendants deny having knowledge or information sufficient to form a belief as to truthfulness of the allegations in this paragraph and, on that basis, deny same.

**12.** Defendants admit that Plaintiff purportedly seeks to sue derivatively on behalf of ACMF, but deny that she has met the requirements necessary to do so.

**13.** Defendants admit that Plaintiff seeks to represent a class, but denies that she has met the necessary legal requirements to do so.

**14.** Defendants admit the allegations in this paragraph.

**15.** Defendants admit the allegations in this paragraph insofar as they pertain to ACMF, and Defendants otherwise deny having knowledge of information sufficient to form a belief as to the truthfulness of the allegations in this paragraph as they may pertain to other “series mutual funds.”

**16.** Defendants admit the allegations in the first sentence of this paragraph and deny the allegations in the second and third sentences of this paragraph, except admit that ACMF has a single board of directors.

**17.** Defendants deny the allegations in this paragraph.

**18.** Defendants admit the allegations in this paragraph.

**19.** Defendants admit that the Ultra Fund does not have a board of directors separate from the board of American Century Mutual Funds, Inc. (“ACMF” or the “Nominal Defendant”), but otherwise deny the allegations in this paragraph.

**20.** Defendants admit the allegations in this paragraph.

**21.** Defendants deny the allegations in this paragraph, except admit that ACIM is the investment adviser for the Fund.

**22.** Defendants deny the allegations in this paragraph, except admit that James E. Stowers, Jr. (“Stowers”) is a director of ACIM.

**23.** Defendants deny the allegations in this paragraph.

**24.** Defendants deny the allegations in this paragraph, except admit that Thomas A. Brown, Andrea C. Hall, Donald H. Pratt, Gale E. Sayers, and M. Jeannine Strandjord are current members of the board of directors of the Nominal Defendant, and that Timothy S. Webster is a former member of the board of directors of the Nominal Defendant.

**25.** Defendants deny the allegations in this paragraph.

**26.** Defendants deny the allegations in this paragraph.

**27.** Defendants deny the allegations in this paragraph.

**28.** Defendants deny the allegations of this paragraph, but admit that among the individual defendants, some are citizens of the states of Missouri, Kansas and Illinois.

**29.** Defendants state that the first and second sentences of this paragraph consists solely of legal conclusions to which no responsive pleading is required, deny having knowledge or information sufficient to form a belief as to truthfulness of the allegations concerning plaintiff's citizenship and, on that basis, deny same, and admit that none of Defendants are citizens of New York.

**30.** Defendants deny the allegations in this paragraph.

**31.** Defendants deny the allegations in this paragraph.

**32.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required.

**33.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required; to the extent that this paragraph contains factual allegations, Defendants deny such allegations.

**34.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required; to the extent that this paragraph contains factual allegations, Defendants deny such allegations.

**35.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required; to the extent that this paragraph contains factual allegations, Defendants deny such allegations.

**36.** Defendants deny the allegations in this paragraph.

**37.** Defendants deny the allegations in this paragraph.

**38.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required; to the extent that this paragraph contains factual allegations, Defendants deny such allegations.

**39.** Defendants deny having knowledge or information sufficient to form a belief as to truthfulness of the allegations in this paragraph and, on that basis, deny same.

**40.** Defendants deny having knowledge or information sufficient to form a belief as to truthfulness of the allegations in this paragraph and, on that basis, deny same.

**41.** Defendants deny having knowledge or information sufficient to form a belief as to truthfulness of the allegations in this paragraph and, on that basis, deny same.

**42.** Defendants deny having knowledge or information sufficient to form a belief as to truthfulness of the allegations in this paragraph and, on that basis, deny same.

**43.** Defendants deny having knowledge or information sufficient to form a belief as to truthfulness of the allegations in this paragraph and, on that basis, deny same.

**44.** Defendants deny having knowledge or information sufficient to form a belief as to truthfulness of the allegations in this paragraph and, on that basis, deny same.

**45.** Defendants deny having knowledge or information sufficient to form a belief as to truthfulness of the allegations in this paragraph and, on that basis, deny same.

**46.** Defendants deny the allegations in this paragraph.

**47.** Defendants deny having knowledge or information sufficient to form a belief as to truthfulness of the allegations in this paragraph and, on that basis, deny same.

**48.** Defendants deny the allegations in this paragraph.

**49.** Defendants deny the allegations in this paragraph.

**50.** Defendants deny having knowledge or information sufficient to form a belief as to truthfulness of the allegations in this paragraph and, on that basis, deny same.

**51.** Defendants deny having knowledge or information sufficient to form a belief as to truthfulness of the allegations in this paragraph and, on that basis, deny same.

**52.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required; to the extent that this paragraph contains factual allegations, Defendants deny such allegations.

**53.** Defendants deny the allegations in this paragraph.

**54.** Defendants deny the allegations in this paragraph.

**55.** Defendants deny the allegations in this paragraph.

**56.** Defendants admit the allegations in this paragraph.

**57.** Defendants deny the allegations in this paragraph.

**58.** Defendants deny the allegations in this paragraph.

**59.** Defendants deny the allegations in this paragraph.

**60.** Defendants deny the allegations in this paragraph.

**61.** Defendants deny the allegations in this paragraph insofar as they pertain to Defendants; otherwise, Defendants deny having knowledge or information sufficient to form a belief as to truthfulness of the allegations in this paragraph and, on that basis, deny same.

**62.** Defendants deny the allegations in this paragraph.

**63.** Defendants deny the allegations in this paragraph.

**64.** Defendants deny the allegations in this paragraph.

**65.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required; to the extent that this paragraph contains factual allegations, Defendants deny such allegations.

**66.** Defendants deny the allegations in this paragraph.

**67.** Defendants deny the allegations in this paragraph.

**68.** Defendants deny the allegations in this paragraph.

**(a)** Defendants deny the allegations in this paragraph.

**(b)** Defendants deny the allegations in this paragraph.

**69.** Defendants deny the allegations in this paragraph.

**70.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required; to the extent that this paragraph contains factual allegations, Defendants deny such allegations.

**71.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required; to the extent that this paragraph contains factual allegations, Defendants deny such allegations.

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**73.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required; to the extent that this paragraph contains factual allegations, Defendants deny such allegations.

**74.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required; to the extent that this paragraph contains factual allegations, Defendants deny such allegations.

**75.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required; to the extent that this paragraph contains factual allegations, Defendants deny such allegations.

**76.** Defendants deny the allegations in this paragraph.

**77.** Defendants deny the allegations in this paragraph.

**78.** Defendants deny having knowledge or information sufficient to form a belief as to truthfulness of the allegations in this paragraph and, on that basis, deny same.

**79.** Defendants deny having knowledge or information sufficient to form a belief as to truthfulness of the allegations in this paragraph and, on that basis, deny same.

**80.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required.

**81.** Defendants admit that Plaintiff has not made any effort to obtain from the Nominal Defendant's board of directors any action with respect to the claims asserted in the Amended Complaint. As to the remainder of this paragraph, Defendants deny the allegations in this paragraph.

**(a)** Defendants deny the allegations in this paragraph.

**(b)** Defendants deny the allegations in this paragraph.



**82.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required.

**83.** Defendants deny the allegations in this paragraph.

**84.** Defendants deny the allegations in this paragraph.

**85.** Defendants deny the allegations in this paragraph.

**86.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required; to the extent that this paragraph contains factual allegations, Defendants deny such allegations.

**87.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required; to the extent that this paragraph contains factual allegations, Defendants deny such allegations.

**88.** Defendants deny the allegations in this paragraph.

**89.** Defendants deny the allegations in this paragraph.

**90.** Defendants deny the allegations in this paragraph.

**91.** Defendants deny the allegations in this paragraph.

**92.** Defendants deny the allegations in this paragraph.

**93.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required; to the extent that this paragraph contains factual allegations, Defendants deny such allegations.

**94.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required; to the extent that this paragraph contains factual allegations, Defendants deny such allegations.

**95.** Defendants admit that Plaintiff seeks to represent a class, but denies that she has met the necessary legal requirements to do so.

**96.** Defendants admit the allegations in this paragraph solely as they pertain to the purported class alleged in the complaint.

**97.** Defendants deny the allegations in this paragraph.

(a) Defendants deny the allegations in this paragraph.

(b) Defendants deny the allegations in this paragraph.

(i) Defendants deny the allegations in this paragraph.

(ii) Defendants deny the allegations in this paragraph.

(iii) Defendants deny the allegations in this paragraph.

(iv) Defendants deny the allegations in this paragraph.

(v) Defendants deny the allegations in this paragraph.

(c) Defendants deny the allegations in this paragraph.

(d) Defendants deny the allegations in this paragraph.

**98.** Defendants deny the allegations in this paragraph.

(a) Defendants deny the allegations in this paragraph.

(i) Defendants deny the allegations in this paragraph.

(ii) Defendants deny the allegations in this paragraph.

(iii) Defendants deny the allegations in this paragraph.

(iv) Defendants deny the allegations in this paragraph.

**FIRST CLAIM FOR RELIEF  
(INDIVIDUAL AND CLASS CLAIMS)  
(CIVIL RICO, 18 U.S.C. § 1962(c))**

**99.** Defendants repeat and reallege their responses to the above paragraphs as if fully set forth herein.

**100.** Defendants admit that Plaintiff has asserted such claims, but denies the merits thereof.

**101.** Defendants deny the allegations in this paragraph.

**102.** Defendants deny the allegations in this paragraph.

**103.** Defendants deny the allegations in this paragraph.

**104.** Defendants deny the allegations in this paragraph.

**105.** Defendants deny the allegations in this paragraph.

**SECOND CLAIM FOR RELIEF  
(INDIVIDUAL AND CLASS CLAIMS)  
(CIVIL RICO, 18 U.S.C. § 1962(D))**

**106.** Defendants repeat and reallege their responses to the above paragraphs as if fully set forth herein.

**107.** Defendants admit that Plaintiff has asserted such claims, but denies the merits thereof.

**108.** Defendants deny the allegations in this paragraph.

**109.** Defendants deny the allegations in this paragraph.

**110.** Defendants deny the allegations in this paragraph.

**THIRD CLAIM FOR RELIEF  
(INDIVIDUAL AND CLASS CLAIMS)  
(BREACH AND FIDUCIARY DUTY)**

**111.** Defendants repeat and reallege their responses to the above paragraphs as if fully set forth herein.

**112.** Defendants admit that Plaintiff has asserted such claims, but denies the merits thereof.

**113.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required.

**114.** Defendants deny the allegations in this paragraph.

**115.** Defendants deny the allegations in this paragraph.

**116.** Defendants deny that Plaintiff and the putative class are entitled to relief; otherwise Defendants deny having knowledge or information sufficient to form a belief as to truthfulness of the allegations in this paragraph, and on that basis, deny same.

**FOURTH CLAIM FOR RELIEF  
(INDIVIDUAL AND CLASS CLAIMS)  
(NEGLIGENCE)**

**117.** Defendants repeat and reallege their responses to the above paragraphs as if fully set forth herein.

**118.** Defendants admit that Plaintiff has asserted such claims, but denies the merits thereof.

**119.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required.

**120.** Defendants deny the allegations in this paragraph.

**121.** Defendants deny the allegations in this paragraph.

**FIFTH CLAIM FOR RELIEF  
(DERIVATIVE CLAIM)  
(CIVIL RICO, 18 U.S.C. § 1962(c))**

**122.** Defendants repeat and reallege their responses to the above paragraphs as if fully set forth herein.

**123.** Defendants admit that Plaintiff has asserted such claims, but denies the merits thereof.

**124.** Defendants deny the allegations in this paragraph.

**SIXTH CLAIM FOR RELIEF  
(DERIVATIVE CLAIM)  
(CIVIL RICO, 18 U.S.C. § 1962(D))**

**125.** Defendants repeat and reallege their responses to the above paragraphs as if fully set forth herein.

**126.** Defendants admit that Plaintiff has asserted such claims, but denies the merits thereof.

**127.** Defendants deny the allegations in this paragraph.

**SEVENTH CLAIM FOR RELIEF  
(DERIVATIVE CLAIM)  
(BREACH OF FIDUCIARY DUTY)**

**128.** Defendants repeat and reallege their responses to the above paragraphs as if fully set forth herein.

**129.** Defendants admit that Plaintiff has asserted such claims, but denies the merits thereof.

**130.** Defendants deny the allegations in this paragraph.

**131.** Defendants deny the allegations in this paragraph.

**EIGHTH CLAIM FOR RELIEF  
(DERIVATIVE CLAIM)  
(NEGLIGENCE)**

**132.** Defendants repeat and reallege their responses to the above paragraphs as if fully set forth herein.

**133.** Defendants admit that Plaintiff has asserted such claims, but denies the merits thereof.

**134.** Defendants deny the allegations in this paragraph.

**NINTH CLAIM FOR RELIEF  
(DERIVATIVE CLAIM)  
(WASTE)**

**135.** Defendants repeat and reallege their responses to the above paragraphs as if fully set forth herein.

**136.** Defendants admit that Plaintiff has asserted such claims, but denies the merits thereof.

**137.** Defendants state that this paragraph consists solely of legal conclusions to which no responsive pleading is required.

**138.** Defendants deny the allegations in this paragraph.

**139.** Defendants deny the allegations in this paragraph.

**140.** Defendants deny all allegations not expressly admitted above.

**AFFIRMATIVE DEFENSES**

**FIRST AFFIRMATIVE DEFENSE**

**(Failure to State a Claim)**

**141.** Plaintiff has failed to state a claim upon which relief can be granted.

**SECOND AFFIRMATIVE DEFENSE**

**(Statute of Limitations)**

**142.** Plaintiff's claims are barred, in whole or in part, because of the running of applicable statutes of limitations.

**THIRD AFFIRMATIVE DEFENSE**

**(Personal Jurisdiction)**

**143.** Plaintiff's claims are barred in whole or in part because Defendants are not subject to personal jurisdiction in the state of New York.

**FOURTH AFFIRMATIVE DEFENSE**

**(Intervening Cause)**

144. Any alleged damages suffered on the part of Plaintiffs were a direct and proximate result of a superseding intervening cause on the part of third parties and/or the Plaintiff, such that the intervening superseding cause bars any recovery by Plaintiff against these answering Defendants.

**FIFTH AFFIRMATIVE DEFENSE**

**(Laches)**

145. Plaintiff's claims are barred, in whole or in part, by the doctrine of laches.

**SIXTH AFFIRMATIVE DEFENSE**

**(Unclean Hands)**

146. Plaintiff's claims are barred, in whole or in part, by the doctrine of unclean hands.

**SEVENTH AFFIRMATIVE DEFENSE**

**(Subject Matter Jurisdiction)**

147. Plaintiff's claims are barred because this Court does not have subject matter jurisdiction over the claims set forth in the complaint.

**EIGHTH AFFIRMATIVE DEFENSE**

**(RICO Enterprise)**

148. Plaintiff's RICO claims are barred because the complaint has failed to properly allege a RICO enterprise, as required by law.

**NINTH AFFIRMATIVE DEFENSE**

**(Causation)**

**149.** Plaintiff's claims are barred because plaintiff has not properly alleged proximate causation, a requirement for each claim set forth in the complaint.

**TENTH AFFIRMATIVE DEFENSE**

**(Demand)**

**150.** Plaintiff's derivative claims are barred in whole or in part because plaintiff did not first serve a demand on the boards of directors for the Nominal Defendant demanding that it pursue these claims, and plaintiff has not appropriately alleged demand futility in accordance with applicable legal requirements.

**ELEVENTH AFFIRMATIVE DEFENSE**

**(Racketeering)**

**151.** Plaintiff's RICO claims are barred because the complaint has failed to properly allege racketeering, as required by law.

**TWELFTH AFFIRMATIVE DEFENSE**

**(Class Action and Derivative Action)**

**152.** Plaintiff's claims are barred insofar as a complaint may not properly allege both class action allegations and derivative allegations, as the two are contradictory and cannot be brought together.

**THIRTEENTH AFFIRMATIVE DEFENSE**

**(Continuous Pattern of Racketeering)**

**153.** Plaintiff's RICO claims are barred in whole or in part because plaintiff has not properly alleged a continuous pattern of racketeering, as required by law.



**FOURTEENTH AFFIRMATIVE DEFENSE**

**(Predicate Act)**

154. Plaintiff's RICO claims are barred in whole or in part because plaintiff has not properly alleged a predicate act under RICO, as required by law.

**FIFTEENTH AFFIRMATIVE DEFENSE**

**(Estoppel)**

155. Plaintiff's claims are barred in whole or in part by the doctrine of estoppel.

**SIXTEENTH AFFIRMATIVE DEFENSE**

**(Waiver)**

156. Plaintiff's claims are barred in whole or in part by the doctrine of waiver.

**SEVENTEENTH AFFIRMATIVE DEFENSE**

**(Legal Businesses)**

157. Plaintiff's RICO claims are barred because the companies in which the Nominal Defendant invested, at the time the investments were made, operated legally in the states in which they conducted business; therefore the investments in those companies cannot form the basis for a violation under 18 U.S.C. Section 1955.

**EIGHTEENTH AFFIRMATIVE DEFENSE**

**(Due Diligence)**

158. Plaintiff's claims are barred in whole or in part because Defendants performed due diligence to the extent required by applicable law.

**NINETEENTH AFFIRMATIVE DEFENSE**

**(Good Faith)**

**159.** Plaintiff's claims are barred in whole or in part because the Defendants, at all times, acted in good faith.

Wherefore, Defendants deny that Plaintiff is entitled to recover any damages or obtain any relief requested in the Prayer for Relief and deny that Plaintiff is entitled to a Jury Trial.

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**PRAYER FOR RELIEF**

Defendants demand judgment as follows:

1. Dismissing Plaintiff's claims in their entirety;
2. Awarding Defendants attorney's fees and costs incurred in connection with this action; and
3. Awarding such further relief as this Court deems just and proper.

Dated: June 25, 2009

Respectfully submitted,

COOLEY GODWARD KRONISH LLP  
GORDON C. ATKINSON (GA-8337)  
BENJAMIN KLEINE (BK-1975)

By: /s/ Gordon C. Atkinson (GA-8337)

Gordon C. Atkinson

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Attorneys for Defendants American Century  
Companies, Inc., American Century Investment  
Management, Inc. ("ACIM"), James E. Stowers, Jr.,  
James E. Stowers, III, Jonathan S. Thomas, William  
M. Lyons, Mark Mallon, Wade Slome, Bruce  
Wimberly, and Jerry Sullivan

**PROOF OF SERVICE**  
**(FRCP 5)**

I am a citizen of the United States and a resident of the State of California. I am employed in the City and County of San Francisco County, State of California, in the office of a member of the bar of this Court, at whose direction the service was made. I am over the age of eighteen years, and not a party to the within action. My business address is Cooley Godward Kronish LLP, 101 California Street, 5th Floor, San Francisco, California 94111-5800. On the date set forth below I served the documents described below in the manner described as follows: **Answer to Amended Complaint**

- ☐ (BY U.S. MAIL) I am personally and readily familiar with the business practice of Cooley Godward Kronish LLP for collection and processing of correspondence for mailing with the United States Postal Service, and I caused such envelope(s) with postage thereon fully prepaid to be placed in the United States Postal Service at San Francisco, California.
- ☐ (BY MESSENGER SERVICE) by consigning the document(s) to an authorized courier and/or process server for hand delivery on this date.
- ☐ (BY FACSIMILE) I am personally and readily familiar with the business practice of Cooley Godward Kronish LLP for collection and processing of document(s) to be transmitted by facsimile and I caused such document(s) on this date to be transmitted by facsimile to the offices of addressee(s) at the numbers listed below.
- ☐ (BY OVERNIGHT MAIL) I am personally and readily familiar with the business practice of Cooley Godward Kronish LLP for collection and processing of correspondence for overnight delivery, and I caused such document(s) described herein to be deposited for delivery to a facility regularly maintained by \_\_\_\_\_ for overnight delivery.
- ☒ (BY ELECTRONIC MAIL) I am personally and readily familiar with the business practice of Cooley Godward Kronish LLP for the preparation and processing of documents in portable document format (PDF) for e-mailing, and I caused said documents to be prepared in PDF and then served by electronic mail to the parties listed below.

on the following parties in this action:

Thomas I. Sheridan, III, Esq.  
Andrea Bierstein, Esq.  
Hanly Conroy Bierstein Sheridan  
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Attorneys for Defendants and Nominal  
Defendant

THOMAS A. BROWN, ANDREA C.  
HALL, DONALD H. PRATT, GALE  
E. SAYERS, M. JEANNINE  
STRANDJORD, TIMOTHY S.  
WEBSTER AND NOMINAL  
DEFENDANT AMERICAN  
CENTURY MUTUAL FUNDS, INC.

Executed on June 25, 2009, at San Francisco, California.



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Tammy Zughayer